

P23762.P01 <b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	P23762	Total Pages	17437 U.S. PTO 10/648395
	Inventor(s) or Application Identifier Suguru TAKISHIMA			
	Title: CARTRIDGE FOR PROTECTING OPTICAL RECORDING MEDIA			
ADDRESS TO: Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450				
APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS		
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>35</u> ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>9</u> ]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>		<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>4</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation of Tokyo, Japan</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> _____ _____ _____ _____</p>		
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____. Prior application information: Examiner: _____ Group Art Unit: _____</p>				
<p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____/_____, filed _____.</p>				

Address all future correspondence to **Customer No. 7055** at the present address of:

**GREENBLUM & BERNSTEIN, P.L.C.**  
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8/27/03  
Date

*Leslie Paperner* Reg. No. 33,329  
Signature

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

02527 U.S. PRO

08/27/03

### FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Suguru TAKISHIMA
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	P23762

**TOTAL AMOUNT OF PAYMENT**    **(\$1,084.00)**

**METHOD OF PAYMENT (check one)**

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check    ☐ Credit Card    ☐ Money Order    ☐ Other

**FEE CALCULATION (fees effective 01/01/03)**

**1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<u>750.00</u>
1002	330	2002	165	Design filing fee	_____
1003	520	2003	260	Plant filing fee	_____
1004	750	2004	375	Reissue filing fee	_____
1005	160	2005	80	Provisional filing fee	_____
<b>SUBTOTAL (1)</b>					<b>(\$ 750.00)</b>

**2. CLAIMS**

Total Claims	<u>27</u>	-20=	<u>7</u>	Extra	<u>18</u>	=	<u>126.00</u>
Independent Claims	<u>5</u>	-3=	<u>2</u>		<u>84</u>	=	<u>168.00</u>
Multiple Dependent Claims	<u>0</u>			x	<u>280</u>	=	<u>0</u>

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	_____
1201	84	2201	42	Independent claims in excess of 3	_____
1203	280	2203	140	Multiple dependent claim	_____
1204	84	2204	42	Reissue independent claims over original patent	_____
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	_____
<b>SUBTOTAL (2)</b>					<b>(\$ 294.00)</b>

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	_____
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	_____
1053	130	1053	130	Non-English specification	_____
1812	2,520	1812	2,520	For filing a request for reexamination	_____
1804	920*	1804	920*	Requesting publication of SIR	_____
				Prior to Examiner action	_____
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	_____
1251	110	2251	55	Extension for response within 1st month	_____
1252	410	2252	205	Extension for response within 2nd month	_____
1253	930	2253	465	Extension for response within 3rd month	_____
1254	1,450	2254	725	Extension for response within 4th month	_____
1255	1,970	2255	985	Extension for response within 5th month	_____
1401	320	2401	160	Notice of Appeal	_____
1402	320	2402	160	Filing a brief in support of an appeal	_____
1403	280	2403	140	Request for oral hearing	_____
1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____
1452	110	2452	55	Petition to revive unavoidably abandoned application	_____
1453	1,300	2453	650	Petition to revive unintentionally abandoned application	_____
1501	1,300	2501	650	Utility issue fee (or reissue)	_____
1502	470	2502	235	Design issue fee	_____
1503	630	2503	315	Plant issue fee	_____
1460	130	1460	130	Petitions to the Commissioner	_____
1807	50	1807	50	Petitions related to provisional applications	_____
1806	180	1806	180	Submission of IDS	_____
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	<u>40.00</u>
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	_____
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	_____
1801	750	2801	375	Request for Continued Examination (RCE)	_____
1802	900	1802	900	Request for expedited examination of a design application	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____
<b>SUBTOTAL (3)</b>					<b>(\$ 40.00)</b>

\*Reduced by Basic Filing Fee paid

**SUBMITTED BY**

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Bruce H. Bernstein</i>	Date	8/27/03
		Deposit Account User ID	

P23762.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Suguru TAKISHIMA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : CARTRIDGE FOR PROTECTING OPTICAL RECORDING MEDIA

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on August 27, 2003, and pages 1 - 35 of the specification (with Figures 1 - 9) which were forwarded to the undersigned by Applicant's Japanese representative on August 26, 2003. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.

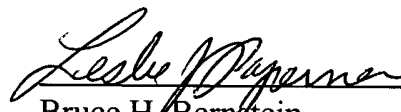
Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

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Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Suguru TAKISHIMA

 Reg. No. 33,329  
Bruce H. Bernstein  
Reg. No. 29,027

August 27, 2003  
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